# IF4TM Questionnaire - Policies and legal frameworks for establishing the 3rd mission of universities

In the scope of WP1 of IF4TM project this questionnaire aims at collecting data about practices, policies and legal frameworks for establishing and supporting the 3rd mission of universities.

Please provide all the answers in English.

As defined in the project proposal, "the Third Mission encompasses a wide range of activities involving the generation, use, application and exploitation of knowledge and other university capabilities outside academic environments (Tuunainen, 2005). Those activities are divided into three dimensions: 1) Technology transfer and innovation; 2) Continual education; 3) Social engagement."

This questionnaire should be filled in by one representative of the HEI (preferably someone involved in the project) who will be responsible for collecting the relevant data within the institution.

The deadline for submission is 15/02/2015.

Your Name \*

E-mail adress \*

Unit \*

\* Required

Description of you (HEI)	ur Higher Education Institution
Institution Name: *	
Country: *	
Legal status: *	
O Public	
Private	
Number of Faculties/Schools: *	
Number of students: *	
Number of teaching staff and reso	earchers: *
Number of non-academic staff: *	
Degree levels offered: *	
☐ 1st cycle (BSc)	
2nd cycle (MSc)	
☐ 3rd cycle (PhD)	
Annual Budget (€):	
Income from private/competitive All types of funds except pre-deter	

## National legislation that governs the Third Mission of Universities in your country:

Identify national legislative documents relevant for the third mission of universities, summarize its main points and provide a link (URL) for the full document, preferrably in English

itle:				
Summary and relevant Maximum of 3000 cha		ssion:		
			<u>/</u> /	
ink (URL) to legislati	ion or English tra	anslation:		
_egislative	Docume	ent (L2)		
Fitle:				
Summary and relevan	nce for third mis	ssion:		
Maximum of 3000 ch				
ink (URL) to legislati	ion or English tra	anslation:		
_egislative	Docume	nt (1 2)		
_cgistative	Docume	>11t (F2/		
Title:				
iue.				
iue.				

Link (URL) to legislation or English translation:
Legislative Document (L4)
Title:
Summary and relevance for third mission:  Maximum of 3000 characters
Link (URL) to legislation or English translation:
Additional Legislative Documents (LA)
Room to refer additional legislation related with third mission of universities
Refer additional national legislative documents  For each document provide title, summary and a link (URL) or English translation (Maximum of 4000 characters)

## Institutional policies of your university for Third Mission activities:

Identify institutional policy documents of your university that are relevant for the third mission, summarize its main points and provide a link (URL) for the

#### Policy Document (P1)

Title:
Summary and relevance for third mission:  Maximum of 3000 characters
Link (URL) to policy or English translation:
Policy Document (P2)
1 Olicy Document (1 2)
Title:
Summary and relevance for third mission: Maximum of 3000 characters
Waximum of 3000 characters
Link (URL) to policy or English translation:
Policy Document (Da)
Policy Document (P3)
Title:
Summary and relevance for third mission:

Maximum of 3000 characters

Link (URL) to policy or English translation:
Additional Policy Documents (PA)
Room to refer for additional but less relevant policy documents related with third mission of universities
Refer additional policy documents
For each document provide title, summary and a link (URL) or English translation (Maximum of 4000 characters)
A. Technology Transfer & Innovation
1. Is there national legislation that governs ownership of intellectual property? *
<ul><li>Yes</li></ul>
○ No
O Don't know
If yes, select relevant legislative documents from the ones that you have listed previously: you can choose more than one option
L1
L3
■ L4
LA
2. If there is commercial exploitation of the invention, is financial compensation to inventors foreseen in national legislation? *
○ Yes
○ No
O Don't know

If yes, select relevant you can choose more	_		s from the ones	s that you hav	e listed previ	ously:
L1						
L2						
□ L3						
L4						
LA						
LA						
3. Is there national le academic inventions		it governs	ownership of i	ntellectual pro	operty specifi	cally for
○ Yes						
○ No						
Oon't know						
If yes, select relevant you can choose more			s from the ones	s that you hav	e listed previ	ously:
L1	, triari orie op	,				
L2						
L3						
L4						
☐ LA						
<ul><li>Yes</li><li>No</li><li>Don't know</li><li>If yes, select relevant</li></ul>	t legislative (	documents	s from the ones	s that you hav	e listed previo	ously:
you can choose more	e than one op	otion				
□ L1						
L2						
■ L3						
□ L4						
☐ LA						
5. Internal policy rega	arding intelle	ectual prop	perty: *			
	Don't know	No	Yes, in the scope of P1	Yes, in the scope of P2	Yes, in the scope of P3	Yes, in the scope of PA
Does your						
institution have	$\bigcirc$					$\bigcirc$
an IP Policy? Is financial						
compensation to						
inventors						

7. Is there a Technological Transfer Office (TTO) at your University? *
○ Yes
○ No
8. Number of TTO staff:
9. Average number of patent applications per year:
10. University incubator: *
○ Yes
○ No
11. Total number of spin-offs with patented technology:
12. Total number of spin-offs without patented technology:
13. Is entrepreneurship taught at your University? If yes, in which scope/model? *
13. Is entrepreneurship taught at your oniversity: If yes, in which scope/model:
14. Main activities offered by your TTO:  Maximum of 3000 characters

15. List good practices or activities of your institution that are related with Technology Transfer & Innovation:

Maximum of 3000 characters

foreseen in internal policies?

Additional comments regarding Technology Transfer & Innovation:
(for example other metrics used in this field, innovation platforms used, integration in
entrepreneurial ecosystem, IP valorisation) Maximum of 3000 characters
B. Continuous Education
b. Continuous Education
1. Is there national legislation that governs Continuous Education in universities? *
○ Yes
○ No
O Don't know
Don't know
If yes, select relevant legislative documents from the ones that you have listed previously:
you can choose more than one option
■ L1
■ L2
L3
□ L4
□ LA
2. Does your institution have a Continuous Education Policy? *
○ Yes
○ No
O Don't know
If yes, select relevant policy documents from the ones that you have listed previously:
you can choose more than one option
■ P1
■ P2
□ P3
□ PA

3. Is there a Continuous Education Office? *
○ Yes
○ No
4. Number of Continuous Education Office staff:
5. Number of participants in Continuous Education courses in the past year (2015):
6. Average ECTS of a Continuous Education course (in hours):
7. Participants in Continuous Education background:
Former students of the same institution
Students from another institution  Students from another institution
Stadents from another institution
8. Tools or incentives for attracting students to enroll in Continuous Learning courses (contracts with companies, alumni network, professional associations, etc.)  Maximum of 3000 characters
9. List good practices or activities of your institution that are related with Continuous Education:
Maximum of 3000 characters
Additional comments regarding Continuous Education:  (for example other metrics used in this field, personal development programs) Maximum of 3000 characters

### C. Social Engagement

1. Is there national legislation that governs Social Engagement in universities? *
○ Yes
○ No
Don't know
If yes, select relevant legislative documents from the ones that you have listed previously: you can choose more than one option
■ L1
■ L2
L3
■ L4
LA
If yes, select the stakeholders: you can choose more than one option
Primary and secondary education institutions
Local government
Industry and civil society
Other stakeholders
2. Does your institution have a Social Engagement Policy? *
○ Yes
○ No
Don't know
If yes, select relevant policy documents from the ones that you have listed previously: you can choose more than one option
□ P1
■ P2
□ P3
□ PA
If yes, select forms of relationship with stakeholders: you can choose more than one option
Activities with primary and secondary education institutions
<ul> <li>Local government representatives involved in HEI governing bodies</li> </ul>
☐ Industry and/or civil society involved in HEI governing bodies
Other forms of relationship with stakeholders
3. The university has Offices or Units responsible for Social Engagement activities? *
○ Yes

○ No		
l. If yes, please ident engagement activitie	tify the Offices or Units within the Universit	ty responsible for social
Maximum of 3000 ch		
5. Please identify the Iniversity that condu	main activities developed related to caree acts each activity:	er services and the Office within the
Maximum of 3000 ch	aracters	
S Number of natents	filed in joint ownership with industry in the	e nast vear (2015):
o. Humber of patents	The difficult ownership with industry in the	c past year (2010).
7. November of contract	As along all with the dead in decame in the con-	
. Number of contrac	ets signed with the local industry in the pas	st year (2015).
	s or activities of your institution that are re	lated with Social Engagement:
Maximum of 3000 ch	aracters	
Additional comments	regarding Social Engagement:	
	etrics used in this field, programs with prim	
	al government, cooperation with industry an	nd civil society) Maximum of 3000
characters		

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